

# CMSC330: Higher-Order Functions (in Python)

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# Logistics

## Assignments

- ▶ Lecture Quiz 1 now closed
- ▶ Project 0 “Setup” Ongoing
- ▶ Project 1 “Intro Python” Due Sun 10-Sep

## Reading

[Python Functional Programming HOWTO](#) : Focus on `map()`, `filter()`, `reduce()` and `lambda()` expressions

## Goals

- ▶ Wrap up Python Basics
- ▶ Discuss first-class functions, relation to nested scope
- ▶ Uses for higher-order function which take function args
- ▶ Big-4 higher-order funcs
- ▶ Lambda expressions for anonymous func args

## First-Class Functions which Act as Values

- ▶ Like many PLs, Python supports treating functions as values
- ▶ Referred to as **First-Class Functions** though this term often carries additional obligations (some of which Python fulfills)

```
1 # function_value.py:
2 def double_it(x):                # define function
3     return 2*x
4 print(double_it)                 # show a printed rep of func
5 # <function double_it at 0x7fb221d984a0>
6
7 a = double_it(5)                 # call function
8 print(a)
9 # 10
10
11 di = double_it                  # alias for double_it()
12 print(di)
13 # <function double_it at 0x7fb221d984a0>
14
15 b = di(7)                       # call di() -> double_it()
16 print(b)
17 # 14
```

# Higher-Order Functions: Function Parameters / Returns

- ▶ **Higher-Order Functions**  
accept function arguments  
or return functions (or both)
- ▶ Function args are useful to  
tailor semi-complex  
behavior: rather than trying  
to implement all options  
internally, HO func accepts  
behavior as an argument

```
# function_args.py:
def scale_list(func, alist):
    for i in range(len(alist)):
        alist[i] = func(alist[i])

def double_it(x):
    return 2*x

def halve_it(x):
    return x/2

import math
def log2_it(x):
    return math.log2(x)

l1 = [10, 20, 30, 40]

l2 = l1.copy()
scale_list(double_it, l2)
print(l2) # [20, 40, 60, 80]

l3 = l1.copy()
scale_list(halve_it, l3)
scale_list(log2_it, l3)
print(l3) # [2.32, 3.32, 3.90, 4.32]
```

## Exercise: `apply2` and `apply_all`

Write the following two higher order functions, 1-4 lines each

```
def apply2(func1, func2, data):  
    # WRITE ME!
```

```
apply2(double_it, halve_it, 10)  
# (20, 5)
```

```
apply2(log2_it, double_it, 32)  
# (5, 64)
```

```
def apply_all(func_list, data):  
    # WRITE ME!
```

```
flist = [double_it, halve_it, log2_it]  
apply_all(flist, 10)  
# [20, 5.0, 3.32]
```

## Answers: apply2 and apply\_all

Write the following two higher order functions, 1-4 lines each

```
1 # apply_exercise.py:
2 def apply2(func1, func2, data):
3     data1 = func1(data)
4     data2 = func2(data)
5     # return (func1(data),func2(data))
6     return (data1,data2)
7
8 def apply_all(func_list, data):
9     data_list = []
10    for func in func_list:
11        data_list.append(func(data))
12    return data_list
13
```

## Standard Higher-Order Functions

- ▶ Several Higher-Order Functions appear widely in computing
- ▶ Worth knowing about as their own entity, will appear in Python, OCaml, Racket, and others
- ▶ Each function works with a **Data Structure (DS) like a List**

### The 4 Recurring Higher Order Funcs

**Map** Create a new DS with function applied to each element, same shape of DS with new elements

**Filter** Create a new DS with only elements of that return True from a function; converts a DS to a (probably) smaller DS

**Reduce** Repeatedly apply function to an element of DS and a current value; transforms DS to a single value, generalizes “summing” a list

**Iterate** Execute a function on each element of DS for side-effects (e.g. `print()`) only; discards return values

## Aside: Python Iterators and `list()` Coercion

- ▶ Python supports **generators** / **iterators**, an efficient means of providing large collections of items WITHOUT storing them in memory
- ▶ Central idea: Generator asked for *next* item, returns item or indicates none left in which case iteration terminates
- ▶ Used with the `for a in X:` syntax where X is iterable
- ▶ Lists, Dictionaries, Sets are all iterable in Python
- ▶ `range()` is a generator, can be coerced to a list

```
>>> range(10)
range(0, 10)
>>> list(range(10))
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
```
- ▶ Higher-order functions like `map()` work on an iterator, produce a new iterator
- ▶ Will coerce results to a `list()` to see the results



## map(func, data)

- ▶ Creates a new DS (list) with each element “transformed” by applying func()
- ▶ New DS is distinct and separate from old, return vals of function populate new DS

```
1 # map_demo.py:
2 def add_one(x):
3     return x+1
4
5 nums0 = [10,20,30,40]
6 nums1 = map(add_one,nums0)
7 nums1l = list(map(add_one,nums0))
8
9 print(f"nums0: {nums0}") # nums0: [10, 20, 30, 40]
10 print(f"nums1: {nums1}") # nums1: <map object at 0x7f597bd67d00>
11 print(f"nums1l: {nums1l}") # nums1l: [11, 21, 31, 41]
12
13 def upcase(x):
14     return x.upper()
15
16 strsm = ["cat","Dog","pIg"]
17 strsu = map(upcase, strsm)
18 strsul = list(map(upcase, strsm))
19
20 print(f"strsm: {strsm}") # strsm: ['cat', 'Dog', 'pIg']
21 print(f"strsu: {strsu}") # strsu: <map object at 0x7f597bd66620>
22 print(f"strsul: {strsul}") # strsul: ['CAT', 'DOG', 'PIG']
```

# A Code Pattern for HOFs

You should have noticed the following pattern

```
def smallfunc1(arg):  
    ...  
  
def smallfunc2(arg):  
    ...  
  
def hofunc(func_arg, othe_args):  
    ...  
    ...  
    ...  
  
hofunc(smallfunc1, ...)  
hofunc(smallfunc2, ...)
```

- ▶ Higher-order functions may be modest in length or quite long
- ▶ The small functions that become arguments are often one-liners
- ▶ It would be nice if one could avoid the need to def-in the small functions . . . .

## Lambda Expressions: Anonymous Function Creation

- ▶ **Lambda Expression** or just **Lambda**: a syntax to create a function body without naming the function
- ▶ Sometimes referred to as anonymous functions
- ▶ Often part of what's meant by "first-order functions" in PLs

```
# lambda_demo.py:
def double_it1(x):                                # standard func binding
    return 2*x

double_it2 = lambda x: 2*x                        # lambda binding
# NAME      LAMBDA EXPRESSION

alist = [1,2,3,4,5]

print(list(map(double_it1, alist)))              # call w/ standard func
# [2, 4, 6, 8, 10]

print(list(map(double_it2, alist)))              # call w/ lambda func
# [2, 4, 6, 8, 10]

print(list(map(lambda y: 2*y, alist)))          # call w/ lambda directly
# [2, 4, 6, 8, 10]

print(list(map(lambda x: x+1, alist)))          # call w/ different lambda
# [2, 3, 4, 5, 6]
```

# Lambdas in Python

- ▶ Python has limited support for functional programming so doesn't endow Lambdas with much power
  - ▶ Can accept multiple arguments but. . .
  - ▶ Single line only, no use of conditionals / loops
  - ▶ Single expression only which is its return
- ▶ Partly the lack of support stems from [Guido's preference for other styles](#)

*About 12 years ago, Python aquired lambda, reduce(), filter() and map(), courtesy of (I believe) a Lisp hacker who missed them and submitted working patches. But, despite of the PR value, I think these features should be cut from Python 3000.*

*– Guido van Rossum, "[The fate of reduce\(\) in Python 3000](#)", March 10, 2005*

- ▶ Functional languages like OCaml and Racket will have richer support for Lambdas and related **lexical closures**

# Filter

Create a smaller DS (list) containing only elements that return True from filter function

```
1 # filter_demo.py:
2 words = ["apple", "banana", "apricot", "grape", "artichoke"]
3
4 awords = list(filter(lambda x: x[0]=="a", words))
5 print(awords)          # ['apple', 'apricot', 'artichoke']
6
7 short_words = list(filter(lambda x: len(x) <= 5, words))
8 print(short_words)    # ['apple', 'grape']
9
10 long_words = list(filter(lambda x: len(x) > 5, words))
11 print(long_words)     # ['banana', 'apricot', 'artichoke']
12
13 all_words = list(filter(lambda x: 5.5, words))
14 print(all_words)     # entire list due to 5.5 being truthy
```

# Reduce

- ▶ Generalizes “summing a list”: initial value 0, add each item
- ▶ Reduce allows operations other than “add” and other initial values than “0” so that
- ▶ Create a single value from a DS of elements by repeatedly applying an operation beginning with an initial value
- ▶ `reduce()` requires an `import` from `functools` as it was dropped `funcs` automatically available
- ▶ Reductions come up elsewhere in computing and are worth noting

# Reduce Examples

```
1 # reduce_demo.py:
2 from functools import reduce    # reduce() not in default imports
3
4 nums = [10,20,30,40]           # some data to operat on
5
6 asum0 = reduce(lambda cur,x: x+cur, nums, 0)    # sum starting at 0
7 print(asum0)      # 100
8
9 asum13 = reduce(lambda cur,x: x+cur, nums, 13) # sum starting at 13
10 print(asum13)    # 113
11
12 asum_def = reduce(lambda cur,x: x+cur, nums)    # default to sum list only
13 print(asum_def)  # 100
14
15 aprodl = reduce(lambda cur,x: x*cur, nums, 1)   # product of list, init 1
16 print(aprod1)   # 240000
17
18 aprodef = reduce(lambda cur,x: x*cur, nums)    # product of list only
19 print(aprod_def) # 240000
20
21 astr = reduce(lambda cur,x: cur+str(x)+" ", nums, "") # string concat
22 print(astr)      # "10 20 30 40 "
23
24 amax = reduce(lambda cur,x: x if x>cur else cur, nums) # reduce via max
25 print(amax)      # 40
26
27 amax2 = reduce(max, nums)                               # max() func used directly
28 print(amax)      # 40
29
30 print(max(nums))                                     # pythonic style
```

## Iter

- ▶ Iterate over a DS (list) and apply a function solely for side effects (e.g. printing, writing to file, logging, etc.)
- ▶ Being an imperative language, Iter is not available in standard Python as it is more canonical to use a for loop
- ▶ Available in via the `more_itertools` package as `side_effect`
- ▶ Additionally requires use of the `consume()` function to evaluate all iterations

```
1 from more_itertools import *
2
3 words = ["apple", "banana", "apricot", "grape", "artichoke"]
4 consume(side_effect(lambda x: print(x), words))
5 # prints all words
6
7 alist=[] # empty list
8 consume(side_effect(lambda x: alist.append(x), words))
9 # iterate over words appending to alist
10
11 print(alist) # copy of words[]
```



# Python List Comprehensions

- ▶ Python has other mechanisms that are more canonical than Map/Reduce/Filter
- ▶ **List comprehensions** are a semi-complex syntax to create lists and are often used in place of Map / Filter
- ▶ Worth knowing about but NOT a subject of further discussion in CMSC330

```
1 >>> [x for x in range(10)]
2 [0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
3
4 >>> [2*x for x in range(10)]
5 [0, 2, 4, 6, 8, 10, 12, 14, 16, 18]
6
7 >>> [x for x in range(20) if x%2==0]
8 [0, 2, 4, 6, 8, 10, 12, 14, 16, 18]
9
10 # transform      iterable      filter
11 >>> [3*x+1 for x in range(20) if x%2==0]
12 [1, 7, 13, 19, 25, 31, 37, 43, 49, 55]
13
14 >>> words = ["apple", "banana", "apricot", "grape", "artichoke"]
15 >>> [x for x in words if x[0]=="a"]
16 ['apple', 'apricot', 'artichoke']
```

## Python's sort() w/ First-Class Functions

- ▶ One common place you will see functions passed as arguments is in Sorting functions
- ▶ The **comparison / comparator** function is what is used to compare elements and determine sorting order as used in [Java](#), [C](#), [OCaml](#), [Racket](#), and most other PLs
- ▶ Python has a limited version of this, a “key” parameter that allows transformation of values in the list
- ▶ Will revisit first-class funcs in OCaml / Racket to see this

```
# sort_demo.py:
```

```
nums = [23426, -16781, 9963, 10870, 677,  
        -21218, 22541, 11610, 24488, -24855]
```

```
nums.sort()                # sort the list  
print(nums)               # w/ standard order  
# [-24855, -21218, -16781, 677, 9963, 10870, 11610, 22541, 23426, 24488]  
nums.sort(key=abs)        # sort by absolute  
print(nums)               # value via abs()  
# [677, 9963, 10870, 11610, -16781, -21218, 22541, 23426, 24488, -24855]  
nums.sort(key=lambda x: -x) # sort in reverse  
print(nums)               # via a lambda  
# [24488, 23426, 22541, 11610, 10870, 9963, 677, -16781, -21218, -24855]
```

# Nested Functions and Scope in Python

```
1 # nested_scope.py:
2 def outer_func(oarg):
3     # oloc = "q"
4
5     def inner_func1(iarg):
6         iloc = "j"
7         print(f"inner_func1():")
8         print(f"   iloc:{iloc} iarg:{iarg}")
9         print(f"   oloc:{oloc} oarg:{oarg}")
10        return 1
11
12    def inner_func2(iarg):
13        iloc = "k"
14        print(f"inner_func2():")
15        print(f"   iloc:{iloc} iarg:{iarg}")
16        print(f"   oloc:{oloc} oarg:{oarg}")
17        return 2
18
19    oloc = "q"
20    r1 = inner_func1("x")
21    oloc = "u"
22    r2 = inner_func2("y")
23    # print(iloc) # error
24    return r1+r2
25
26
27 r = outer_func("a")
28 print(r)
```

- ▶ Python supports nested functions with more/less expected behavior of **scoping**
- ▶ Scope: where variable / symbol is visible and can be used
- ▶ Inner functions have access to outer function variables
  - ▶ inner\_func1() can “see” oarg and oloc from the outer scope
  - ▶ Likewise for inner\_func2()
- ▶ Outer scope cannot “see” inner variables: line 23 error